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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/824,348	04/02/2001	Alexander J. Pasadyn	2000.058100	9339
23720	7590	01/09/2004	EXAMINER	
WILLIAMS, MORGAN & AMERSON, P.C. 10333 RICHMOND, SUITE 1100 HOUSTON, TX 77042				PRETLOW, DEMETRIUS R
ART UNIT		PAPER NUMBER		
		2863		

DATE MAILED: 01/09/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.	Applicant(s)
	09/824,348	PASADYN ET AL.
	Examiner	Art Unit
	Demetrius R. Pretlow	2863

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 30 October 2003.
- 2a) This action is FINAL.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1-30 is/are pending in the application.
  - 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) 3-5, 14-16, 19 and 30 is/are allowed.
- 6) Claim(s) 1, 2, 6-8, 12, 13, 17, 18 and 23 is/are rejected.
- 7) Claim(s) 1-5, 8-16 and 19-30 is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on 02 April 2001 is/are: a) accepted or b) objected to by the Examiner.
 

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. §§ 119 and 120

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) All b) Some \* c) None of:
    1. Certified copies of the priority documents have been received.
    2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.
- 13) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application) since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.
  - a) The translation of the foreign language provisional application has been received.
- 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121 since a specific reference was included in the first sentence of the specification or in an Application Data Sheet. 37 CFR 1.78.

#### Attachment(s)

1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ .
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ .	6) <input type="checkbox"/> Other: _____

## DETAILED ACTION

### ***Claim Objections***

1. Claims 1-5,8-16,19-30 are objected to because the term thread is used throughout the specification and claims, however there is no clear definition of this term. The Examiner asks the applicant to define the term thread.

Appropriate correction is required.

### ***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
2. Claims 1, 6,7,8,12,17,18 and 23 are rejected under 35 U.S.C. 102(e) as being anticipated by Nicholson (US 6,580,960). Nicholson teach processing a plurality of manufactured items in a process flow. Note Nicholson column 2, lines 37-44. Nicholson teach storing a set of production environment data associated with each of the manufactured items. Note Nicholson column 2, lines 56-60. Nicholson teach identifying manufactured items associated with a process drift. Note Nicholson column 6, lines 4-7. Nicholson teach a plurality of characteristic threads based on the production environment data. Nicholson does not explicitly

teach the step of generating however this step would be inherent to the process of Nicholson. Nicholson column 3, lines 8-35. Nicholson teach at least one of the characteristics threads being associated with other than processing tools used in the process flow. Note Nicholson column 3, lines 26-29. Note Nicholson teach a weight value is assigned to each lot in the lot list. Typically, the wafer lots without the failure signature are assigned negative weight values, such as -1, and the wafer lots having the failure signature are assigned positive weight values, which suggests comparing the characteristic threads for at least those manufactures items associated with the process drift. Note Nicholson column 4, lines 7-23. Nicholson teach determining at least one potential cause for the process drift based on the comparison of the characteristic threads. Note Nicholson column 4, lines 24-40.

In reference to claims 6 and 17, Nicholson teach processing the plurality of manufactured items in the process flow comprises processing a plurality of semiconductor wafers in a semiconductor device during manufacturing process flow. Note Nicholson column 2, lines 45-47.

In reference to claims 7 and 18, Nicholson teach processing the plurality of semiconductor wafers into lots of wafers, an identifying the manufactured associated with the process drift comprises identifying particular lots of wafers associated with the process drift. Note Nicholson column 4, lines 7-24.

In reference to claim 12, Nicholson teach a plurality of tools for processing manufactured items in a process flow. Note Nicholson et al. column 2, lines 56-60. Nicholson teach a database (118) adapted to store a set of production environment data associated with each of the manufactured items. Note Nicholson et al. column 2, lines 56-61. Nicholson teach a drift monitor (120) to identify manufactured items associated with a process drift. Note Nicholson column 6, lines 4-7. Nicholson teach a plurality of characteristic threads based on the production environment data. Nicholson does not explicitly teach generate however that would be inherent to the invention of Nicholson. Note Nicholson column 3, lines 8-35. Nicholson teach at least one of the characteristics threads being associated with other than processing tools used in the process flow. Note Nicholson column 3, lines 26-29. Nicholson teach a weight value is assigned to each lot in the lot list. Typically, the wafer lots without the failure signature are assigned negative weight values, such as -1, and the wafer lots having the failure signature are assigned positive weight values, which suggests comparing the characteristic threads for at least those manufactures items associated with the process drift. Note Nicholson column 4, lines 7-23. Nicholson teach determining at least one potential cause for the process drift based on the comparison of the characteristic threads. Note Nicholson column 4, lines 24-40.

In reference to claim 23, Nicholson teach means for processing a plurality of manufactured items in a process flow. Note Nicholson column 2, lines 45-51. Means for storing a set of production environment data (118) associated with each of the manufactured items. Note Nicholson column 2, lines 56-60. Nicholson teach means for

identifying manufactures items associated with the process drift. Note Nicholson claim 6, lines 5-9. Nicholson teach a plurality of characteristic threads based on the production environment data. Nicholson does not explicitly teach generate, however that would be inherent to the invention of Nicholson. Note Nicholson column 3, lines 8-35. Nicholson teach at least one of the characteristics threads being associated with other than processing tools used in the process flow. Note Nicholson column 3, lines 26-29. Nicholson teach a weight value is assigned to each lot in the lot list. Typically, the wafer lots without the failure signature are assigned negative weight values, such as -1, and the wafer lots having the failure signature are assigned positive weight values, which suggests comparing the characteristic threads for at least those manufactures items associated with the process drift. Note Nicholson column 4, lines 7-23. Nicholson teach means for determining at least one potential cause for the process drift based on the comparison of the characteristic threads. Note Nicholson column 4, lines 24-40.

3. In reference to claim 8 , Nicholson teach processing plurality of manufactured items in a process flow. Note Nicholson column 2, lines 37-44. Nicholson teach storing a set of production environment data associated with each of the manufactured items. Note Nicholson column 2, lines 56-60. Nicholson teach identifying semiconductor wafers associated with a process drift. Note Nicholson column 6, lines 4-7. Nicholson teach a plurality of characteristic threads based on the production environment data. Nicholson does not explicitly teach the step of generating however this step would be inherent to the process of Nicholson. Note Nicholson column 3, lines 8-35. Nicholson teach characteristic threads for

processing tools in the process flow. Note Nicholson column 3, lines 8-35. Nicholson teach a weight value is assigned to each lot in the lot list. Typically, the wafer lots without the failure signature are assigned negative weight values, such as -1, and the wafer lots having the failure signature are assigned positive weight values, which suggests comparing the characteristic threads for at least those manufactures items associated with the process drift. Note Nicholson column 4, lines 7-23. Nicholson teach determining at least one potential cause for the process drift based on the comparison of the characteristic threads. Note Nicholson column 4, lines 24-40.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 2, 13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nicholson in view of Milor et al. Nicholson teach all of the limitations above. Nicholson does not teach grouping the characteristic threads into characteristic categories.

Milor et al. teach grouping the characteristic threads into characteristic categories. Note Milor et al. column 2, lines 48-62.

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to modify the invention of Nicholson in view of Milor et al. because it would allow the number of feasible defect causes to be narrowed down. Note Milor et al. column 2, lines 40-44.

6. Claims 9,10,11,20-22,24-29 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

***Allowable Subject Matter***

7. Claims 3-5,14-16,19 and 30 are would be allowed if the objection above is overcome.
8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Demetrius R. Pretlow whose telephone number is (703) 308-6722. The examiner can normally be reached on Monday - Friday from 8:00 am to 4:30 pm.  
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Barlow, can be reached at (703) 308-3126. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1782.

Demetrius R. Pretlow

Patent Examiner

Demetrius R. Pretlow 12/31/03

  
John P. Foley  
Supervisory Patent Examiner  
Technology Center 2860